

| SERVICE AREA | SERVICE TEAM | AUDIT AREA | SODC/ VWHDC/ JOINT | LAST PRIORITY RATING | SODC AUDIT ALLOCATION | VWHDC AUDIT ALLOCATION | LAST REVIEWED | REVIEW SCORE | LAST AUDIT RATING | RATING SCORE | FINANCIAL RISK X 2 | FRAUD RISK X 2 | REPUTATIONA L RISK | LEGA L RISK | CORPORAT E RISK | OFFICER REQUES T | PRIORITY SCORE | AUDIT PLANNING NOTES |
|-----------------------------|---|---|--------------------------|----------------------------|--------------------------|---------------------------|------------------|-----------------|----------------------|-----------------|-----------------------|-------------------|-----------------------|----------------|--------------------|------------------------|-------------------|---|
| HR, IT & Customer Services | Performance & Projects | Procurement | VWHDC | Medium | 0 | 8 | 2009/2010 | 5 | Limited | 2 | 3 | 3 | 3 | 1 | 2 | 1 | 26 | Carried forward from 2014/2015 Include tender process. |
| HR, IT & Customer Services | Performance & Projects | Procurement | SODC | Medium | 8 | 0 | 2009/2010 | 5 | Satisfactory | 1 | 3 | 3 | 3 | 1 | 2 | 1 | 25 | Carried forward from 2014/2015 Include tender process. |
| Finance | Payroll | Payroll | Joint | 22 | 18 | 18 | 2014/2015 | 0 | Limited | 2 | 3 | 3 | 3 | 3 | 3 | 1 | 24 | KFS |
| Finance | Revenue & Benefits | Housing Benefits & Council Tax Reduction Scheme | Joint | 20 | 15 | 15 | 2013/2014 | 1 | Full | 0 | 3 | 3 | 3 | 2 | 3 | 1 | 22 | KFS |
| Economy, Leisure & Property | Leisure | Leisure Centres | Joint | Medium | 15 | 15 | 2010/2011 | 4 | Limited | 2 | 3 | 1 | 3 | 2 | 2 | 1 | 22 | Carried forward from 2014/2015 new contracts commenced 2014/2015. |
| Legal & Democratic Services | Elections, Licensing & Local Land Charges | Elections & Elections Payments | SODC | N/A | 15 | 0 | 2007/2008 | 7 | Limited | 2 | 1 | 2 | 3 | 2 | 2 | 0 | 22 | Full elections in 2015/16 and so defer to Q3/4 2015/16. |
| Legal & Democratic Services | Elections, Licensing & Local Land Charges | Elections & Elections Payments | VWHDC | N/A | 0 | 15 | 2007/2008 | 7 | Satisfactory | 1 | 1 | 2 | 3 | 2 | 2 | 0 | 21 | Full elections in 2015/16 and so defer to Q3/4 2015/16. |
| Legal & Democratic Services | Elections, Licensing & Local Land Charges | Elections Payments | Joint | Medium | 0 | 0 | 2011/2012 | 3 | Satisfactory | 1 | 3 | 3 | 2 | 1 | 2 | 0 | 21 | Included with Elections. |
| Finance | Revenue & Benefits | Council Tax | Joint | 20 | 10 | 10 | 2013/2014 | 1 | Full | 0 | 3 | 3 | 3 | 2 | 2 | 1 | 21 | KFS |
| Finance | Accountancy | General Ledger | Joint | 19 | 10 | 10 | 2013/2014 | 1 | Satisfactory | 1 | 3 | 3 | 2 | 1 | 3 | 1 | 21 | KFS |
| Finance | Revenue & Benefits | NNDR | Joint | 20 | 10 | 10 | 2013/2014 | 1 | Full | 0 | 3 | 3 | 3 | 2 | 2 | 1 | 21 | KFS |
| Finance | Revenue & Benefits | Sundry Debtors | Joint | 19 | 10 | 10 | 2013/2014 | 1 | Satisfactory | 1 | 3 | 3 | 2 | 2 | 2 | 1 | 21 | KFS |
| HR, IT & Customer Services | ICT | ICT | VWHDC | Medium | 0 | 10 | 2010/2011 | 4 | Limited | 2 | 2 | 2 | 3 | 1 | 3 | 0 | 21 | To defer to Q4 2015/16 once new ICT system has been implemented. |
| Finance | Accountancy | Creditor Payments | Joint | 19 | 10 | 10 | 2013/2014 | 1 | Full | 0 | 3 | 3 | 2 | 2 | 2 | 1 | 20 | KFS |
| Corporate Audits | | Pro-Active Anti-Fraud Review | Joint | 18 | 10 | 10 | 2013/2014 | 1 | Satisfactory | 1 | 3 | 3 | 2 | 1 | 2 | 1 | 20 | KFS |
| Finance | Accountancy | Insurance | VWHDC | 22 | 0 | 10 | 2012/2013 | 2 | Satisfactory | 1 | 3 | 1 | 3 | 3 | 3 | 0 | 20 | To include business continuity claims. |
| Finance | Accountancy | Insurance | SODC | 22 | 10 | 0 | 2012/2013 | 2 | Full | 0 | 3 | 1 | 3 | 3 | 3 | 0 | 19 | To include business continuity claims. |
| Planning | Development | S106 Commuted Sums / CIL | Joint | 21 | 6 | 6 | 2013/2014 | 1 | Limited | 2 | 3 | 2 | 3 | 2 | 1 | 0 | 19 | Audit manager does not consider necessary to include as comprehensive follow up in 2014/2015. |
| HR, IT & Customer Services | ICT | ICT | SODC | Medium | 10 | 0 | 2010/2011 | 4 | Satisfactory | 1 | 2 | 2 | 3 | 0 | 3 | 0 | 19 | To defer to Q4 2015/16 once new ICT system has been implemented. |
| Finance | Accountancy | Capital Management & Accounting | SODC | 17 | 7 | 0 | 2013/2014 | 1 | Limited | 2 | 3 | 2 | 2 | 1 | 2 | 1 | 19 | KFS |
| HR, IT & Customer Services | Capita | Cash Office | VWHDC | 19 | 0 | 8 | 2013/2014 | 1 | Limited | 2 | 3 | 3 | 2 | 0 | 2 | 0 | 19 | New accommodation. |
| Finance | Capita | Cash Office | SODC | 19 | 8 | 0 | 2013/2014 | 1 | Satisfactory | 1 | 3 | 3 | 2 | 0 | 2 | 0 | 18 | New accommodation. |
| Legal & Democratic Services | Elections, Licensing & Local Land Charges | Licensing | Joint | 17 | 10 | 10 | 2013/2014 | 1 | Limited | 2 | 2 | 2 | 3 | 3 | 1 | 0 | 18 | New system. |
| Finance | Accountancy | Treasury Management | Joint | 16 | 7 | 7 | 2013/2014 | 1 | Full | 0 | 3 | 2 | 2 | 2 | 2 | 1 | 18 | KFS |
| Finance | Accountancy | Capital Management & Accounting | VWHDC | 16 | 0 | 7 | 2013/2014 | 1 | Satisfactory | 1 | 3 | 2 | 2 | 1 | 2 | 1 | 18 | KFS |
| Finance | Accountancy | Receipt of Income Arrangements | Joint | 17 | 10 | 10 | 2012/2013 | 2 | Satisfactory | 1 | 3 | 2 | 2 | 0 | 2 | 1 | 18 | Carried forward from 2014/2015, revise 14/15 TOR to include cash office system upgrade (May/Jun) and do Q3/Q4. |
| HR, IT & Customer Services | Human Resources | HR Management | Joint | Low | 10 | 10 | 2008/2009 | 6 | Satisfactory | 1 | 1 | 1 | 2 | 2 | 2 | 1 | 18 | Requested by HR manager to look at annualised hours in 2015/16. |
| HR, IT & Customer Services | Human Resources | HR Recruitment Processes | Joint | High | 10 | 10 | 2010/2011 | 4 | Satisfactory | 1 | 1 | 2 | 2 | 2 | 1 | 1 | 17 | Audit manager requested for 2015/16 following payroll audit findings. |
| HR, IT & Customer Services | Performance & Projects | Contract Management | SODC | Medium | 10 | 0 | 2008/2009 | 6 | Satisfactory | 1 | 1 | 1 | 2 | 2 | 2 | 0 | 17 | Audit manager does not consider necessary. Leisure contract and procurement being reviewed separately in 2015/16. |
| Corporate Strategy | Community Partnership | Partnership Performance Monitoring | Joint | Medium | 10 | 10 | 2009/2010 | 5 | Satisfactory | 1 | 2 | 0 | 3 | 1 | 2 | 1 | 17 | Carried forward from 2014/2015. |
| HR, IT & Customer Services | Performance & Projects | Project Management | Joint | Medium | 10 | 10 | 2010/2011 | 4 | Satisfactory | 1 | 2 | 1 | 2 | 1 | 2 | 1 | 17 | Carried forward from 2014/2015. |
| Corporate Strategy | Waste | Waste Management and Recycling | Joint | Medium | 10 | 10 | 2011/2012 | 3 | Limited | 2 | 3 | 0 | 3 | 1 | 1 | 1 | 17 | Carried forward from 2014/2015. |
| Economy, Leisure & Property | Technical | Car Parks | Joint | 20 | 10 | 10 | 2014/2015 | 0 | Full | 0 | 3 | 3 | 3 | 1 | 1 | 0 | 17 | Audit manager does not consider necessary to review in 2015/16. |
| Corporate Strategy | Policy & Engagement | Consultation (Public & Staff) | Joint | Low | 5 | 5 | 2008/2009 | 6 | Limited | 2 | 1 | 0 | 3 | 0 | 3 | 0 | 16 | |
| Economy, Leisure & Property | Arts | Cornerstone | SODC | 15 | 10 | 0 | 2012/2013 | 2 | Satisfactory | 1 | 3 | 0 | 3 | 1 | 3 | 0 | 16 | |
| Corporate Strategy | Policy & Engagement | Health & Safety | Joint | 18 | 10 | 10 | 2013/2014 | 1 | Satisfactory | 1 | 2 | 1 | 3 | 3 | 2 | 0 | 16 | |
| Legal & Democratic Services | Legal | RIPA | Joint | 15 | 5 | 5 | 2013/2014 | 1 | Full | 0 | 2 | 1 | 3 | 3 | 2 | 0 | 15 | |
| Finance | Revenue & Benefits | Benefit Fraud | Joint | 20 | 10 | 10 | 2012/2013 | 2 | Full | 0 | 3 | 2 | 2 | 1 | 1 | 0 | 16 | |
| Legal & Democratic Services | Democratic Services | Gifts and Hospitality | Joint | Low | 5 | 5 | 2011/2012 | 3 | Sats/Limited | 1.5 | 1 | 2 | 3 | 1 | 1 | 0 | 15.5 | |
| Planning | Planning Policy | Neighbourhood Planning Grants | Joint | N/A | 5 | 5 | new area | | N/A | | 3 | 1 | 2 | 1 | 2 | 1 | 15 | Audit manager requested for 15/16. |
| Corporate Strategy | Waste | Brown Bins | Joint | 16 | 10 | 10 | 2013/2014 | 1 | Full | 0 | 3 | 1 | 3 | 1 | 2 | 0 | 15 | |
| Finance | Accountancy | Budgetary Control | Joint | 18 | 5 | 5 | 2012/2013 | 2 | Full | 0 | 3 | 1 | 3 | 0 | 2 | 0 | 15 | |
| HR, IT & Customer Services | Performance & Projects | Business Continuity | SODC | N/A | 10 | 0 | 2013/2014 | 1 | Satisfactory | 1 | 3 | 0 | 3 | 1 | 3 | 0 | 15 | |
| HR, IT & Customer Services | Performance & Projects | Business Continuity | VWHDC | 18 | 0 | 9 | 2013/2014 | 1 | Satisfactory | 1 | 3 | 0 | 3 | 1 | 3 | 0 | 15 | |

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|-----------------------------|---|---|--------------------------|----------------------------|--------------------------|---------------------------|------------------|-----------------|----------------------|-----------------|-----------------------|-------------------|-----------------------|----------------|--------------------|------------------------|-------------------|---|
| Finance | Accountancy | Community Loans Scheme | SODC | 14 | 4 | 0 | 2013/2014 | 1 | Full | 0 | 2 | 2 | 2 | 1 | 2 | 0 | 14 | |
| Finance | Accountancy | Credit Card Usage | Joint | 14 | 4 | 4 | 2013/2014 | 1 | | | 2 | 3 | 1 | 1 | 1 | 0 | 14 | |
| HR, IT & Customer Services | ICT | Disaster Recovery | SODC | 21 | 10 | 0 | 2013/2014 | 1 | Satisfactory | 1 | 3 | 0 | 3 | 1 | 3 | 0 | 15 | |
| HR, IT & Customer Services | ICT | Disaster Recovery | VWHDC | 17 | 0 | 10 | 2013/2014 | 1 | Satisfactory | 1 | 3 | 0 | 3 | 1 | 3 | 0 | 15 | |
| HR, IT & Customer Services | Performance & Projects | Performance Management | Joint | Low | 8 | 8 | 2008/2009 | 6 | Satisfactory | 1 | 1 | 0 | 3 | 1 | 2 | 0 | 15 | |
| Finance | Accountancy | Petty Cash Spot Checks | Joint | Low | 3 | 3 | 2011/2012 | 3 | Full | 0 | 3 | 3 | 0 | 0 | 0 | 0 | 15 | |
| HR, IT & Customer Services | Human Resources | Travel & Subsistence | Joint | 18 | 10 | 10 | 2014/2015 | 0 | Full | 0 | 3 | 3 | 1 | 0 | 2 | 0 | 15 | |
| Economy, Leisure & Property | Leisure | The Beacon | VWHDC | 14 | 0 | 10 | 2013/2014 | 1 | Limited | 2 | 2 | 2 | 2 | 0 | 1 | 0 | 14 | |
| Corporate Strategy | Waste | Cleansing Services | SODC | N/A | 8 | 0 | 2007/2008 | 7 | Satisfactory | 1 | 1 | 0 | 3 | 1 | 0 | 0 | 14 | |
| Finance | Accountancy | Council Fees and Charges | Joint | 17 | 10 | 10 | 2013/2014 | 1 | Limited | 2 | 2 | 1 | 2 | 1 | 2 | 0 | 14 | |
| HR, IT & Customer Services | Performance & Projects | Data Protection | Joint | N/A | 5 | 5 | 2012/2013 | 2 | Satisfactory | 1 | 1 | 1 | 3 | 3 | 1 | 0 | 14 | |
| Corporate Strategy | Community Partnership | Discretionary Grants | VWHDC | 13 | 0 | 8 | 2012/2013 | 2 | Limited | 2 | 2 | 1 | 3 | 0 | 1 | 0 | 14 | |
| Economy, Leisure & Property | Technical | Emergency Planning | Joint | 16 | 5 | 5 | 2012/2013 | 2 | Satisfactory | 1 | 2 | 0 | 3 | 1 | 3 | 0 | 14 | |
| Health and Housing | Environmental Protection | Environmental Protection | VWHDC | N/A | 0 | 7 | 2007/2008 | 7 | Satisfactory | 1 | 1 | 0 | 3 | 1 | 0 | 0 | 14 | |
| Economy, Leisure & Property | Facilities | Facilities Management | Joint | Low | 7 | 7 | 2011/2012 | 3 | Satisfactory | 1 | 2 | 1 | 2 | 0 | 2 | 0 | 14 | |
| Health and Housing | Housing Needs/ Advice | Housing Allocations | Joint | 15 | 10 | 10 | 2012/2013 | 2 | Full | 0 | 1 | 2 | 3 | 2 | 1 | 0 | 14 | |
| HR, IT & Customer Services | Human Resources | HR Pro System & Annual Leave Entitlement | Joint | Low | 7 | 7 | 2011/2012 | 3 | Limited | 2 | 2 | 1 | 0 | 1 | 2 | 0 | 14 | |
| Corporate Audits | | Lone Working/ Officer Security | VWHDC | 16 | 0 | 10 | 2013/2014 | 1 | Satisfactory | 1 | 2 | 0 | 3 | 2 | 3 | 0 | 14 | |
| HR, IT & Customer Services | Performance & Projects | Risk Management | Joint | 17 | 7 | 7 | 2012/2013 | 2 | Satisfactory | 1 | 2 | 1 | 3 | 0 | 2 | 0 | 14 | |
| Planning | Planning Policy | Development Management (Previously titled Planning Control) | Joint | N/A | 10 | 10 | 2012/2013 | 2 | Full | 0 | 1 | 1 | 3 | 1 | 2 | 1 | 13 | Carried forward from 2014/2015, to cover preliminary enquiries system, performance indicators rather than fees/income which was covered in 2012/2013. |
| Legal & Democratic Services | Democratic Services | Anti-Fraud & Corruption Policies | Joint | 18 | 8 | 8 | 2014/2015 | 0 | Limited | 2 | 1 | 3 | 2 | 0 | 1 | 0 | 13 | |
| HR, IT & Customer Services | Human Resources | Car Loans | VWHDC | Medium | 0 | 10 | 2010/2011 | 4 | Satisfactory | 1 | 2 | 1 | 1 | 1 | 0 | 0 | 13 | |
| Corporate Audits | | Comments & Complaints Process | SODC | Low | 8 | 0 | 2008/2009 | 6 | Satisfactory | 1 | 1 | 0 | 3 | 1 | 0 | 0 | 13 | |
| Corporate Strategy | Community Partnership | Discretionary Grants | SODC | 13 | 8 | 0 | 2012/2013 | 2 | Satisfactory | 1 | 2 | 1 | 3 | 0 | 1 | 0 | 13 | |
| Finance | Accountancy | Internal Recharges | Joint | 16 | 8 | 8 | 2013/2014 | 1 | Satisfactory | 1 | 3 | 1 | 0 | 0 | 2 | 0 | 12 | |
| Economy, Leisure & Property | Economic Development | Leader Project Assurance | SODC | Medium | 10 | 0 | 2010/2011 | 4 | Satisfactory | 1 | 3 | 0 | 1 | 0 | 1 | 0 | 13 | |
| Economy, Leisure & Property | Facilities | Post Room | Joint | 16 | 10 | 10 | 2013/2014 | 1 | Satisfactory | 1 | 2 | 2 | 1 | 0 | 2 | 0 | 13 | |
| HR, IT & Customer Services | Human Resources | Training & Development | SODC | N/A | 18 | 0 | 2006/2007 | 7 | Satisfactory | 1 | 0 | 0 | 2 | 1 | 2 | 0 | 13 | |
| Planning | Building Control | Building Control | Joint | Low | 5 | 5 | 2011/2012 | 3 | Satisfactory | 1 | 2 | 0 | 2 | 1 | 1 | 0 | 12 | |
| Corporate Audits | | Comments & Complaints Process | VWHDC | Medium | 0 | 10 | 2009/2010 | 5 | Satisfactory | 1 | 1 | 0 | 3 | 1 | 0 | 0 | 12 | |
| Finance | Accountancy | Mortgages Administration | VWHDC | Medium | 0 | 7 | 2010/2011 | 4 | Limited | 2 | 2 | 0 | 1 | 1 | 0 | 0 | 12 | |
| Health and Housing | Environmental Protection | Pest Control | SODC | Low | 5 | 0 | 2010/2011 | 4 | Limited | 2 | 1 | 0 | 3 | 1 | 0 | 0 | 12 | |
| Economy, Leisure & Property | Facilities | Stock Control | Joint | 15 | 10 | 10 | 2013/2014 | 1 | Satisfactory | 1 | 2 | 2 | 1 | 0 | 1 | 0 | 12 | |
| HR, IT & Customer Services | Performance & Projects | Contract Management | VWHDC | 17 | 0 | 10 | 2014/2015 | 0 | Satisfactory | 1 | 1 | 1 | 2 | 2 | 2 | 0 | 11 | Audit manager does not consider necessary. Leisure contract and procurement being reviewed separately in 2015/16. |
| Economy, Leisure & Property | Economic Development | Leader Project Grant Verification | SODC | 9 | 5 | 0 | 2013/2014 | 1 | Full | 0 | 3 | 0 | 1 | 0 | 1 | 1 | 10 | New batch of funding. |
| Legal & Democratic Services | Democratic Services | Corporate Governance | SODC | 16 | 10 | 0 | 2014/2015 | 0 | Full | 0 | 0 | 2 | 3 | 1 | 2 | 0 | 10 | |
| Corporate Strategy | Policy & Engagement | Equalities and Diversity | Joint | Medium | 8 | 8 | 2011/2012 | 3 | Satisfactory | 1 | 1 | 0 | 2 | 1 | 2 | 0 | 11 | |
| Health and Housing | Housing Development & Regeneration | Housing Development | SODC | N/A | 10 | 0 | 2007/2008 | 7 | Satisfactory | 1 | 0 | 0 | 3 | 0 | 0 | 0 | 11 | |
| HR, IT & Customer Services | Performance & Projects | Information Governance | Joint | 12 | 10 | 10 | 2012/2013 | 2 | Satisfactory | 1 | 0 | 0 | 3 | 2 | 3 | 0 | 11 | |
| Economy, Leisure & Property | Leisure | Seasonal Leisure Activities | Joint | 21 | 6 | 6 | 2012/2013 | 2 | Full | 0 | 2 | 1 | 2 | 1 | 0 | 0 | 11 | |
| Economy, Leisure & Property | Facilities | Stationery System | Joint | Low | 6 | 6 | 2011/2012 | 3 | Satisfactory | 1 | 2 | 1 | 0 | 0 | 1 | 0 | 11 | |
| Health and Housing | Housing Needs/ Advice | Temporary Accommodation | VWHDC | 14 | 0 | 12 | 2014/2015 | 0 | Limited | 2 | 1 | 1 | 3 | 1 | 1 | 0 | 11 | |
| Finance | Accountancy | Bank Contract & Arrangements | SODC | 17 | 4 | 0 | 2014/2015 | 0 | Full | 0 | 2 | 0 | 1 | 2 | 3 | 0 | 10 | |
| Legal & Democratic Services | Democratic Services | Corporate Governance | VWHDC | 16 | 0 | 10 | 2014/2015 | 0 | Full | 0 | 0 | 2 | 3 | 1 | 2 | 0 | 10 | |
| Health and Housing | Environmental Protection | Food & Safety | Joint | 8 | 10 | 10 | 2013/2014 | 1 | Satisfactory | 1 | 1 | 0 | 3 | 1 | 1 | 0 | 9 | |
| HR, IT & Customer Services | ICT | Geographical Information Systems | Joint | Low | 10 | 10 | 2008/2009 | 6 | Satisfactory | 1 | 0 | 0 | 0 | 1 | 2 | 0 | 10 | |
| Legal & Democratic Services | Elections, Licensing & Local Land Charges | Land Charges | SODC | 16 | 10 | 0 | 2014/2015 | 0 | Satisfactory | 1 | 2 | 0 | 3 | 1 | 1 | 0 | 10 | |
| Health and Housing | Environmental Protection | Stray Dogs Contract | VWHDC | Low | 0 | 10 | 2010/2011 | 4 | Satisfactory | 1 | 1 | 0 | 2 | 1 | 0 | 0 | 10 | |
| Health and Housing | Housing Needs/ Advice | Temporary Accommodation | SODC | 14 | 12 | 0 | 2014/2015 | 0 | Satisfactory | 1 | 1 | 1 | 3 | 1 | 1 | 0 | 10 | |
| Economy, Leisure & Property | Economic Development | Tourism (Marketing Contract) | SODC | Low | 5 | 0 | 2008/2009 | 6 | Satisfactory | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 10 | |
| Legal & Democratic Services | Elections, Licensing & Local Land Charges | VFM from CCTV Contract | Joint | Low | 10 | 10 | 2009/2010 | 5 | Satisfactory | 1 | 1 | 0 | 1 | 1 | 0 | 0 | 10 | |
| Corporate Strategy | Parks | Grounds Maintenance | Joint | Low | 10 | 10 | 2011/2012 | 3 | Satisfactory | 1 | 1 | 0 | 2 | 1 | 0 | 0 | 9 | |
| Finance | Accountancy | Petty Cash Procedures | Joint | 14 | 5 | 5 | 2014/2015 | 0 | Satisfactory | 1 | 1 | 3 | 0 | 0 | 0 | 0 | 9 | |
| HR, IT & Customer Services | Performance & Projects | Freedom of Information | Joint | 11 | 6 | 6 | 2012/2013 | 2 | Satisfactory | 1 | 0 | 0 | 3 | 2 | 0 | 0 | 8 | |
| Corporate Strategy | Policy & Engagement | Carbon Management Programme | Joint | 6 | 10 | 10 | 2012/2013 | 2 | Satisfactory | 1 | 1 | 0 | 2 | 0 | 1 | 0 | 8 | |
| Economy, Leisure & Property | Facilities | Mobile Home Parks | Joint | 16 | 12 | 12 | 2012/2013 | 2 | Satisfactory | 1 | 1 | 0 | 2 | 0 | 0 | 0 | 7 | |

KEY: Key Financial System = annual review Carried Forward from 2014/2015 plan In 2015/16 audit plan

Review Score Number of audit years since last review.

Rating Score Full Assurance - 0 points
Satisfactory Assurance - 1 point
Limited Assurance - 2 points
Nil Assurance - 3 points

Risk Score Negligible Risk - 0 points
Low Risk - 1 point
Consequences will not be severe and any associated losses relatively small.
Medium Risk - 2 points
Risk will have a noticeable effect on services provided.
High Risk - 3 points
Risks which can leave a major impact on the operation of the council or the services.

Risk Definition

Financial - Any risk which has a potential adverse financial consequence.
Fraud - The risk of a person/persons using deception to make a personal gain which has an impact on the organisation.
Reputational - Risk of negative perception on the part of stakeholders which then has an impact on the organisation.
Legal - Risk of breaches of legislation. Any financial consequence of such a breach is scored in the financial risk element.
Corporate - Risk of operational disruption resulting from inadequate or failed internal processes, people and systems or from external events.

NB: Financial and fraud risk has been weighted (x2), due to higher level of impact if risk materialised.