

**PLANNED AUDIT 2014/2015**

**APPENDIX 1**

System Name  As at 15 September 2014	Status	Audit Allocation	Total Days Used	Exception Issues	Audit Opinion	No. of Recs	High	No. Agreed	Medium	No. Agreed	Low	No. Agreed	Total Not Agreed
<b>JOINT</b>													
Procurement (24 priority score) SODC VWHDC	Commence Q4	12	0.0 0.0										
Payroll (22) SODC VWHDC	In progress	32	5.0 5.0										
Housing & Council Tax Reduction Scheme (20) SODC VWHDC	Commence Q4	30	0.0 0.0										
Car Parks (20) SODC VWHDC	Completed	20	9.5 9.5		Full Full	0 0	0 0	0 0	0 0	0 0	0 0	0 0	0 0
Leisure Centres (20) SODC VWHDC	Commence Q4	30	0.0 0.0										
National Non-Domestic Rate (19) SODC VWHDC	Commence Q3	20	0.0 0.0										
Council Tax (19) SODC VWHDC	Commence Q3	20	0.0 0.0										
General Ledger (19) SODC VWHDC	TOR sent	20	0.0 0.0										
Sundry Debtors (19) SODC VWHDC	Commence Q3	20	0.0 0.0										
Creditor Payments (18) SODC VWHDC	Commence Q3	20	0.0 0.0										
Pro-Active Anti-Fraud Review (18) SODC VWHDC	TOR sent	20	0.0 0.0										

<b>Travel &amp; Subsistence (18)</b> SODC VWHDC	<b>In progress</b>	20	5.0 5.0											
<b>Anti-Fraud &amp; Corruption Policies (18)</b> SODC VWHDC	<b>Completed</b>	16	9.0 9.0	3 days over due to new auditor.	<b>Limited</b> <b>Limited</b>	<b>6</b> <b>6</b>	<b>1</b> <b>1</b>	<b>1</b> <b>1</b>	<b>3</b> <b>3</b>	<b>3</b> <b>3</b>	<b>2</b> <b>2</b>	<b>2</b> <b>2</b>	<b>0</b> <b>0</b>	
<b>Capital Management and Accounting (17)</b> SODC VWHDC	<b>Commence Q3</b>	14	0.0 0.0											
<b>Contract Monitoring (17)</b> SODC VWHDC	<b>Completed</b>	20	6.5 6.5		<b>Full</b> <b>Full</b>	<b>3</b> <b>3</b>	<b>0</b> <b>0</b>	<b>0</b> <b>0</b>	<b>0</b> <b>0</b>	<b>0</b> <b>0</b>	<b>3</b> <b>3</b>	<b>2</b> <b>2</b>	<b>1</b> <b>1</b>	
<b>Bank Contract &amp; Arrangements (17)</b> SODC VWHDC	<b>Commence Q3</b>	8	0.0 0.0											
<b>Treasury Management (16)</b> SODC VWHDC	<b>TOR sent</b>	14	0.0 0.0											
<b>Land Charges (16)</b> SODC VWHDC	<b>TOR sent</b>	20	0.5 0.5											
<b>Corporate Governance (16)</b> SODC VWHDC	<b>Completed</b>	20	7.5 7.5		<b>Full</b> <b>Full</b>	<b>0</b> <b>0</b>	<b>0</b> <b>0</b>	<b>0</b> <b>0</b>	<b>0</b> <b>0</b>	<b>0</b> <b>0</b>	<b>0</b> <b>0</b>	<b>0</b> <b>0</b>	<b>0</b> <b>0</b>	
<b>Receipt of Income Arrangements (15)</b> SODC VWHDC	<b>Commence Q3</b>	18	0.0 0.0											
<b>Partnership Performance Monitoring (15)</b> SODC VWHDC	<b>Commence Q4</b>	20	0.0 0.0											
<b>Waste Management &amp; Recycling (15)</b> SODC VWHDC	<b>Commence Q3</b>	20	0.0 0.0											
<b>Project Management (15)</b> SODC VWHDC	<b>Commence Q4</b>	26	0.0 0.0											
<b>Petty Cash (14)</b> SODC VWHDC	<b>Draft out</b>	16	8.5 9.0											
<b>Temporary Accommodation (14)</b> SODC VWHDC	<b>Completed</b>	24	14.0 14.0	4 days over due to level of sample testing required.	<b>Limited</b> <b>Limited</b>	<b>9</b> <b>7</b>	<b>0</b> <b>1</b>	<b>0</b> <b>1</b>	<b>3</b> <b>2</b>	<b>3</b> <b>2</b>	<b>6</b> <b>4</b>	<b>6</b> <b>4</b>	<b>0</b> <b>0</b>	

