PLANNED AUDIT 2013/2014

APPENDIX 1

System Name As at 31 December 2013	Status	Audit Allocation	Total Days Used	Exception Issues	Audit Opinion	No. of Recs	High	No. Agreed	Medium	No. Agreed	Low	No. Agreed	Total Not Agreed
JOINT													
Payroll (23 priority score)	**Completed**	32											
SODC			17.5		**Satisfactory**	**6**	0	0	3	3	3	3	**0**
VWHDC			17.5		**Satisfactory**	**6**	0	0	4	4	2	2	**0**
Disaster Recovery (21)	**Completed**	10											
SODC			5.0		**Satisfactory**	**8**	0	0	5	4	3	3	**1**
VWHDC			5.0		**Satisfactory**	**7**	0	0	6	5	1	1	**1**
Section 106 Commuted Sum/CIL (21)	To commence Q4	20											
SODC			0.0										
VWHDC			0.0										
Housing & Council Tax Reduction Scheme (20)	**In progress**	30											
SODC			10.0										
VWHDC			10.0										
National Non-Domestic Rate (20)	**Completed**	24											
SODC			11.0		**Full**	**2**	0	0	1	1	1	1	**0**
VWHDC			11.0		**Full**	**0**	0	0	0	0	0	0	**0**
Council Tax (19)	**Completed**	24											
SODC			12.5		**Full**	**1**	0	0	0	0	1	1	**0**
VWHDC			12.5		**Full**	**1**	0	0	0	0	1	1	**0**
Creditor Payments (19)	**Completed**	20											
SODC			11.0		**Full**	**1**	0	0	1	1	0	0	**0**
VWHDC			10.5		**Full**	**0**	0	0	0	0	0	0	**0**
General Ledger (19)	**In progress**	20											
SODC			6.5										
VWHDC			6.5										
Sundry Debtors (19)	**In progress**	20											
SODC			6.0										
VWHDC			6.0										
Pro-Active Anti-Fraud Review (19)	To commence Q4	16											
SODC			0.5										
VWHDC			0.5										
Cash Office (19)	To commence Q4	10											
SODC			0.0										
VWHDC			0.0										

Business Continuity (19)	**Completed**	14											
SODC			5.5		**Satisfactory**	**8**	0	0	6	6	2	2	**0**
VWHDC			5.5		**Satisfactory**	**8**	0	0	6	6	2	2	**0**
Health & Safety (18)	**Completed**	20											
SODC			8.0		**Satisfactory**	**4**	1	1	3	3	0	0	**0**
VWHDC			8.0		**Satisfactory**	**4**	1	1	3	3	0	0	**0**
Treasury Management (17)	**In progress**	14		Audit work commenced in November 2013 and will complete in February 2014 to allow for sufficient testing data									
SODC			3.0										
VWHDC			3.0										
Capital Management and Accounting (17)	**Draft Issued**	14											
SODC			6.0										
VWHDC			6.0										
Council Fees & Charges (17)	To commence Q4	20											
SODC			0.0										
VWHDC			0.0										
Licensing (17)	**Completed**	20											
SODC			12.5		**Limited**	**12**	0	0	5	5	7	7	**0**
VWHDC			12.0		**Limited**	**15**	0	0	7	7	8	8	**0**
Lone Working/ Officer Security (16)	**Completed**	20											
SODC			10.0		**Satisfactory**	**6**	0	0	3	2	3	3	**1**
VWHDC			10.0		**Satisfactory**	**4**	0	0	2	1	2	2	**1**
Brown Bins (16)	**Completed**	20											
SODC			9.5		**Full**	**1**	0	0	0	0	1	1	**0**
VWHDC			9.5		**Full**	**1**	0	0	0	0	1	1	**0**
Post Room (including receipt of valuable items) (16)	**Completed**	20											
SODC			7.0		**Satisfactory**	**6**	0	0	1	1	5	5	**0**
VWHDC			7.0		**Satisfactory**	**4**	0	0	0	0	4	4	**0**
Intra Council Recharges (16)	**Completed**	20											
SODC			8.5		**Satisfactory**	**5**	1	1	3	3	1	1	**0**
VWHDC			8.5		**Satisfactory**	**4**	1	1	3	3	0	0	**0**
Temporary Accommodation (including rent deposit, rent in advance & rent accounting) (15)	To commence Q4	24											
SODC			0.5										
VWHDC			0.5										
Stock Control (15)	**Completed**	12											
SODC			6.0		**Satisfactory**	**6**	0	0	3	3	3	3	**0**
VWHDC			6.0		**Satisfactory**	**4**	0	0	2	2	2	2	**0**
RIPA (15)	**Completed**	10											
SODC			3.5		**Full**	**3**	0	0	0	0	3	3	**0**
VWHDC			3.5		**Full**	**3**	0	0	0	0	3	3	**0**
Credit Card Usage (14)	**In progress**	8											
SODC			4.0										
VWHDC			4.0										

HR Grievance Procedures (13)	To commence Q4	14											
SODC			0.0										
VWHDC			0.0										
Environmental Health Food & Safety (8)	**Completed**	20											
SODC			11.0		**Satisfactory**	**9**	0	0	2	1	7	6	**2**
VWHDC			11.0		**Satisfactory**	**7**	0	0	1	1	6	5	**1**
SODC													
Community Loans Scheme (14)	**Completed**	4	4.0		**Full**	**3**	0	0	1	1	2	2	**0**
Leader Project Grant Verification (9)	**Completed**	5	3.0		**-**	0	0	0	0	0	0	0	**0**
VWHDC													
Wantage Civic Hall (14)	To commence Q4	10	0.0										
IA PLANNED AUDIT TOTALS	**-**	**515**	**356**	**Full** **Satisfactory** **Limited** **Nil**		149	4	4	71	66	74	72	7