PLANNED AUDIT 2013/2014 **APPENDIX 1**

System Name As at 2 September 2013	Status	Audit Allocation	Total Days Used	Exception Issues	Audit Opinion	No. of Recs	High	No. Agreed	Medium	No. Agreed	Low	No. Agreed	Total Not Agreed
JOINT													
Payroll (23 priority score)	**In progress**	32											
SODC			7.5										
VWHDC			7.5										
Disaster Recovery (21)	**In progress**	10											
SODC			5.0										
VWHDC			5.0										
Section 106 Commuted Sum/CIL (21)	To commence Q4	20											
SODC			0.0										
VWHDC			0.0										
Housing & Council Tax Reduction Scheme (20)	To commence Q3	30											
SODC			0.0										
VWHDC			0.0										
National Non-Domestic Rate (20)	**In progress**	24											
SODC			4.5										
VWHDC			4.5										
Council Tax (19)	To commence Q3	24											
SODC			0.0										
VWHDC			0.0										
Creditor Payments (19)	**In progress**	20											
SODC			3.0										
VWHDC			3.0										
General Ledger (19)	To commence Q3	20											
SODC			0.0										
VWHDC			0.0										
Sundry Debtors (19)	To commence Q3	20											
SODC			0.0										
VWHDC			0.0										
Pro-Active Anti-Fraud Review (19)	To commence Q4	16											
SODC			0.0										
VWHDC			0.0										
Cash Office (19)	To commence Q4	10											
SODC			0.0										
VWHDC			0.0										

Business Continuity (19)	**Draft out**	14											
SODC			5.0										
VWHDC			5.0										
Health & Safety (18)	**Draft out**	20											
SODC			8.0										
VWHDC			8.0										
Treasury Management (17)	To commence Q3	14											
SODC			0.0										
VWHDC			0.0										
Capital Management and Accounting (17)	To commence Q3	14											
SODC			0.0										
VWHDC			0.0										
Council Fees & Charges (17)	To commence Q4	20											
SODC			0.0										
VWHDC			0.0										
Licensing (17)	**Completed**	20											
SODC			12.5		**Limited**	**12**	0	0	5	5	7	7	**0**
VWHDC			12.0		**Limited**	**15**	0	0	7	7	8	8	**0**
Lone Working/ Officer Security (16)	**Completed**	20											
SODC			10.0		**Satisfactory**	**6**	0	0	3	2	3	3	**1**
VWHDC			10.0		**Satisfactory**	**4**	0	0	2	1	2	2	**1**
Brown Bins (16)	**TOR sent**	20											
SODC			1.0										
VWHDC			1.0										
Post Room (including receipt of valuable items) (16)	**Completed**	20											
SODC			7.0		**Satisfactory**	**6**	0	0	1	1	5	5	**0**
VWHDC			7.0		**Satisfactory**	**4**	0	0	0	0	4	4	**0**
Intra Council Recharges (16)	**Completed**	20											
SODC			8.5		**Satisfactory**	**5**	1	1	3	3	1	1	**0**
VWHDC			8.5		**Satisfactory**	**4**	1	1	3	3	0	0	**0**
Temporary Accommodation (including rent deposit, rent in advance & rent accounting) (15)	To commence Q4	24											
SODC			0.0										
VWHDC			0.0										
Stock Control (15)	**Completed**	12											
SODC			6.0		**Satisfactory**	**6**	0	0	3	3	3	3	**0**
VWHDC			6.0		**Satisfactory**	**4**	0	0	2	2	2	2	**0**
RIPA (15)	**In progress**	10											
SODC			3.5										
VWHDC			3.5										
Credit Card Usage (14)	To commence Q2	8											
SODC			0.5										
VWHDC			0.5										

HR Grievance Procedures (13)	To commence Q4	14											
SODC			0.0										
VWHDC			0.0										
Environmental Health Food & Safety (8)	**Completed**	20											
SODC			11.0		**Satisfactory**	**9**	0	0	2	1	7	6	**2**
VWHDC			11.0		**Satisfactory**	**7**	0	0	1	1	6	5	**1**
SODC													
Community Loans Scheme (14)	**Completed**	4	4.0		**Full**	**3**	0	0	1	1	2	2	**0**
Leader Project Grant Verification (9)	To commence Q2	5	0.5										
VWHDC													
Wantage Civic Hall (14)	To commence Q4	10	0.0										
IA PLANNED AUDIT TOTALS	**-**	**515**	**190**	**Full** **Satisfactory** **Limited** **Nil**		85	2	2	33	30	50	48	5