

PLANNED AUDIT 2012/2013

APPENDIX 2

System Name	Status	Audit Allocation	Total Days Used	Exception Issues	Audit Opinion	No. of Recs	High	No. Agreed	Medium	No. Agreed	Low	No. Agreed	Total Not Agreed
<b>JOINT</b>													
<b>Payroll (23 priority score)</b>	<b>Final Issued</b>	32											
SODC			24.0		Limited	16	0	0	10	10	6	6	0
VWHDC			24.0		Limited	15	0	0	9	9	6	6	0
<b>Insurance (22)</b>	<b>Final Issued</b>	20											
SODC			10.5		Full	2	0	0	0	0	2	2	0
VWHDC			10.5		Satisfactory	6	1	1	3	3	2	2	0
<b>Seasonal Leisure Activities (21)</b>	<b>Final Issued</b>	12											
SODC			6.5		Full	2	0	0	0	0	2	2	0
VWHDC			6.5		Full	2	0	0	0	0	2	2	0
<b>Housing &amp; Council Tax Benefits (20)</b>	<b>Final Issued</b>	30											
SODC			13.0		Full	2	0	0	0	0	2	2	0
VWHDC			13.0		Full	3	0	0	0	0	3	3	0
<b>NNDR (20)</b>	<b>Final Issued</b>	24											
SODC			12.0		Satisfactory	6	0	0	2	2	4	4	0
VWHDC			12.0		Satisfactory	6	0	0	2	2	4	4	0
<b>Benefit Fraud (20)</b>	<b>Final Issued</b>	20											
SODC			7.5		Full	3	0	0	1	1	2	2	0
VWHDC			7.5		Full	3	0	0	1	1	2	2	0
<b>Council Tax (19)</b>	<b>Final Issued</b>	24											
SODC			11.5		Satisfactory	4	0	0	2	2	2	2	0
VWHDC			11.5		Satisfactory	4	0	0	2	2	2	2	0
<b>Creditor Payments (19)</b>	<b>Final Issued</b>	20											
SODC			10.5		Satisfactory	6	0	0	1	1	5	5	0
VWHDC			10.5		Satisfactory	6	0	0	1	1	5	5	0
<b>General Ledger (19)</b>	<b>Final Issued</b>	20											
SODC			10.0		Satisfactory	13	1	0	1	1	11	10	2
VWHDC			10.5		Satisfactory	12	1	0	0	0	11	10	2
<b>Budgetary Control (18)</b>	<b>Final Issued</b>	16											
SODC			6.0		Full	4	0	0	0	0	4	4	0
VWHDC			6.0		Full	2	0	0	0	0	2	2	0
<b>Sundry Debtors (18)</b>	<b>Final Issued</b>	20											
SODC			10.5		Satisfactory	4	0	0	1	1	3	3	0
VWHDC			10.0		Satisfactory	4	0	0	1	1	3	3	0

<b>Data Protection (18)</b>	<b>Final Issued</b>	10												
SODC			7.5		<b>Satisfactory</b>	<b>6</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>5</b>	<b>4</b>	<b>1</b>	
VWHDC			7.5		<b>Satisfactory</b>	<b>6</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>5</b>	<b>4</b>	<b>1</b>	
<b>Pro-Active Anti-Fraud Review (17)</b>	<b>Final Issued</b>	16												
SODC			5.0		<b>Satisfactory</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	
VWHDC			5.0		<b>Satisfactory</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	
<b>Receipt of Income Arrangements (17)</b>	<b>Final Issued</b>	20												
SODC			9.0		<b>Satisfactory</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>0</b>	
VWHDC			9.0		<b>Satisfactory</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>0</b>	
<b>Risk Management (17)</b>	<b>Final Issued</b>	14												
SODC			7.0		<b>Satisfactory</b>	<b>8</b>	<b>0</b>	<b>0</b>	<b>5</b>	<b>3</b>	<b>3</b>	<b>1</b>	<b>4</b>	
VWHDC			7.0		<b>Satisfactory</b>	<b>9</b>	<b>0</b>	<b>0</b>	<b>5</b>	<b>3</b>	<b>4</b>	<b>2</b>	<b>4</b>	
<b>Mobile Home Parks (16)</b>	<b>Final Issued</b>	24												
SODC			10.0		<b>Satisfactory</b>	<b>9</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>3</b>	<b>6</b>	<b>6</b>	<b>0</b>	
VWHDC			9.0		<b>Satisfactory</b>	<b>11</b>	<b>0</b>	<b>0</b>	<b>5</b>	<b>5</b>	<b>6</b>	<b>6</b>	<b>0</b>	
<b>Emergency Planning (16)</b>	<b>Final Issued</b>	10												
SODC			5.5		<b>Satisfactory</b>	<b>4</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>3</b>	<b>3</b>	<b>0</b>	
VWHDC			5.5		<b>Satisfactory</b>	<b>4</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>3</b>	<b>3</b>	<b>0</b>	
<b>Treasury Management (16)</b>	<b>Final Issued</b>	14												
SODC			6.5		<b>Full</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>3</b>	<b>0</b>	
VWHDC			6.5		<b>Full</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>2</b>	<b>0</b>	
<b>Capital Management and Accounting (15)</b>	<b>Final Issued</b>	14												
SODC			4.0		<b>Limited</b>	<b>10</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>3</b>	<b>7</b>	<b>6</b>	<b>1</b>	
VWHDC			4.5		<b>Satisfactory</b>	<b>6</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>3</b>	<b>3</b>	<b>2</b>	<b>1</b>	
<b>Housing Allocations (15)</b>	<b>Final Issued</b>	20												
SODC			7.0		<b>Full</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
VWHDC			7.0		<b>Full</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
<b>Temporary Accommodation (VWHDC rent accounting) (15)</b>	Carry forward 13/14	24		Postponement requested by Head of Housing, approved by S151 officer.	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	
SODC			0.0											
VWHDC			0.0											
<b>Development Management (14)</b>	<b>Final Issued</b>	20												
SODC			2.5		<b>Full</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>0</b>	
VWHDC			2.5		<b>Full</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	
<b>Discretionary Grants (13)</b>	<b>Final Issued</b>	16												
SODC			11.0		<b>Satisfactory</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5</b>	<b>4</b>	<b>1</b>	
VWHDC			11.0		<b>Limited</b>	<b>8</b>	<b>3</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>3</b>	<b>3</b>	<b>1</b>	
<b>Information Governance (12)</b>	<b>Final Issued</b>	20												
SODC			4.5		<b>Satisfactory</b>	<b>6</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>5</b>	<b>3</b>	<b>3</b>	
VWHDC			4.0		<b>Satisfactory</b>	<b>6</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>5</b>	<b>3</b>	<b>3</b>	

