

PLANNED AUDIT 2011/2012

APPENDIX 2

System Name	Status	Audit Allocation	Total Days Used	Exception Issues	Audit Opinion	No. of Recs	High	No. Agreed	Medium	No. Agreed	Low	No. Agreed	Total Not Agreed
JOINT													
Brown Bins (1)	Final Issued	20											
SODC			11.0		Satisfactory	8	0	0	6	6	2	2	0
VWHDC			11.0		Satisfactory	6	0	0	5	5	1	1	0
Building Control (3)	Final Issued	10											
SODC			7.0		Satisfactory	3	0	0	1	1	2	2	0
VWHDC			6.0		Satisfactory	3	0	0	2	2	1	1	0
Capital Accounting (1)	Final Issued	14											
SODC			7.0		Limited	9	1	1	2	2	6	6	0
VWHDC			7.0		Satisfactory	7	0	0	3	3	4	4	0
Car Parks (2)	Final Issued	20											
SODC			9.5		Satisfactory	10	0	0	6	6	4	4	0
VWHDC			9.5		Satisfactory	9	0	0	4	4	5	5	0
Concessionary Fares (2)	Final Issued	10											
SODC			4.0		Full	0	0	0	0	0	0	0	0
VWHDC			4.0		Full	0	0	0	0	0	0	0	0
Council Tax (1)	Final Issued	20											
SODC			12.5		Full	1	0	0	1	1	0	0	0
VWHDC			12.5		Full	1	0	0	1	1	0	0	0
Creditor Payments (1)	Final Issued	20											
SODC			10.0		Satisfactory	7	0	0	3	2	4	3	2
VWHDC			10.0		Satisfactory	6	0	0	3	2	3	2	2
Elections Payments (2)	Final Issued	14											
SODC			11.0		Satisfactory	6	0	0	3	3	3	3	0
VWHDC			11.0		Satisfactory	6	0	0	3	3	3	3	0
Equalities and Diversity (2)	Final Issued	16											
SODC			7.5		Satisfactory	7	0	0	3	3	4	4	0
VWHDC			7.5		Satisfactory	7	0	0	2	2	5	5	0
Establishment Listing (2)	Final Issued	6											
SODC			2.0		Limited	3	2	2	0	0	1	1	0
VWHDC			2.0		Limited	3	2	2	0	0	1	1	0
Facilities Management (3)	Final Issued	14											
SODC			9.0		Satisfactory	16	0	0	7	7	9	9	0
VWHDC			9.0		Satisfactory	13	0	0	7	7	6	6	0

General Ledger (1) SODC VWHDC	Final Issued	20	10.0 10.5		Satisfactory Satisfactory	9 9	0 0	0 0	4 5	4 5	5 4	5 3	0 1
Gifts & Hospitality (3) SODC VWHDC	Final Issued	10	4.0 4.0		Sat/Limited Sat/Limited	4 4	1 1	1 1	2 2	2 2	1 1	1 1	0 0
Grounds Maintenance (3) SODC VWHDC	Final Issued	20	9.5 9.5		Satisfactory Satisfactory	14 12	0 0	0 0	7 4	7 4	7 8	7 8	0 0
Groupwise/Exchange Procurement (2) SODC VWHDC	Final Issued	8	2.0 2.0		Satisfactory Satisfactory	2 2	1 1	1 1	1 1	1 1	0 0	0 0	0 0
Housing & Council Tax Benefits (1) SODC VWHDC	Final Issued	30	18.0 18.0		Full Full	3 4	0 0	0 0	0 0	0 0	3 4	2 3	1 1
Housing Allocations (2) SODC VWHDC	Postponed to 2012/2013	20		S151 office approved postponement due to structure harmonisation being delayed.		N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
HR Pro System & Annual Leave Entitlement (3) SODC VWHDC	Final Issued	14	4.0 4.0		Limited Limited	7 6	2 2	1 1	2 2	2 2	3 2	3 2	1 1
Licensing (2) SODC VWHDC	Final Issued	20	13.5 13.5		Satisfactory Satisfactory	9 9	0 0	0 0	5 6	5 6	4 3	4 3	0 0
NNDR (1) SODC VWHDC	Final Issued	20	11.5 12.0		Satisfactory Satisfactory	7 7	0 0	0 0	4 4	4 4	3 3	2 2	1 1
Ocella/Uniform ICT Procurement (2) SODC VWHDC	Final Issued	8	4.0 4.0		Full Full	1 1	0 0	0 0	0 0	0 0	1 1	1 1	0 0
Payroll (1) SODC VWHDC	Final Issued	30	15.0 15.0		Limited Limited	14 12	2 1	2 1	8 7	7 6	4 4	4 4	1 1
Petty Cash Spot Checks (3) SODC VWHDC	Final Issued	6	2.0 2.0		Full Full	0 0	0 0	0 0	0 0	0 0	0 0	0 0	0 0
Planning Fees (2) SODC VWHDC	Final Issued	14	5.5 5.5		Full Full	3 2	0 0	0 0	0 0	0 0	3 2	3 2	0 0
Pro-Active Anti-Fraud Review (1) SODC VWHDC	Final Issued	20	5.5 5.0		Limited Limited	2 3	2 3	2 3	0 0	0 0	0 0	0 0	0 0

Stationery System (3)	Final Issued	12												
SODC			6.0		Satisfactory	2	0	0	2	2	0	0	0	
VWHDC			6.0		Satisfactory	2	0	0	2	2	0	0	0	
Sundry Debtors (1)	Final Issued	20												
SODC			10.0		Satisfactory	6	0	0	1	1	5	5	0	
VWHDC			10.0		Full	3	0	0	0	0	3	3	0	
Travel & Subsistence (2)	Final Issued	20												
SODC			10.0		Satisfactory	14	0	0	5	4	9	9	1	
VWHDC			10.0		Satisfactory	15	0	0	6	5	9	9	1	
Treasury Management (1)	Final Issued	14												
SODC			5.5		Full	2	0	0	0	0	2	2	0	
VWHDC			6.0		Satisfactory	5	0	0	0	0	5	5	0	
Verification of Budget Savings (2)	Draft Out	20												
SODC			10.0											
VWHDC			10.0											
Verification of Performance Indicators (2)	Final Issued	20												
SODC			8.0		Satisfactory	7	0	0	1	1	6	5	1	
VWHDC			8.0		Satisfactory	8	0	0	1	1	7	6	1	
Waste Management & Recycling (2)	Final Issued	20												
SODC			9.5		Limited	13	2	2	7	7	4	4	0	
VWHDC			9.5		Limited	14	2	2	8	8	4	4	0	
SODC														
Leader Project Grant Verification (2)	Final Issued	4	2.5		Full	0	0	0	0	0	0	0	0	0
VWHDC														
Cash Office (2)	Final Issued	4	4.5		Limited	14	3	3	6	6	5	4	1	
IA PLANNED AUDIT TOTALS	-	538	515.0		Full 15 Satisfactory 33 Limited 14 Nil 0	382	28	26	165	159	189	179	18	
SODC PLANNED AUDIT TOTALS	-	269	256.5		Full 8 Satisfactory 16 Limited 7 Nil 0	189	13	12	81	78	95	91	8	
VWHDC PLANNED AUDIT TOTALS	-	269	258.5		Full 7 Satisfactory 17 Limited 7 Nil 0	193	15	14	84	81	94	88	10	