

PLANNED AUDIT 2011/2012

APPENDIX 1

System Name	Status	Audit Allocation	Total Days Used	Exception Issues	Audit Opinion	No. of Recs	High	No. Agreed	Medium	No. Agreed	Low	No. Agreed	Total Not Agreed
As at 8 March 2012													
JOINT													
Brown Bins (1)	Final Issued	20											
SODC			11.0		Satisfactory	8	0	0	6	6	2	2	0
VWHDC			11.0		Satisfactory	6	0	0	5	5	1	1	0
Building Control (3)	Final Issued	10											
SODC			7.0		Satisfactory	3	0	0	1	1	2	2	0
VWHDC			6.0		Satisfactory	3	0	0	2	2	1	1	0
Capital Accounting (1)	Draft out	14											
SODC			7.0										
VWHDC			7.0										
Car Parks (2)	Final Issued	20											
SODC			9.5		Satisfactory	10	0	0	6	6	4	4	0
VWHDC			9.5		Satisfactory	9	0	0	4	4	5	5	0
Concessionary Fares (2)	Final Issued	10											
SODC			1.0		Full	0	0	0	0	0	0	0	0
VWHDC			1.0		Full	0	0	0	0	0	0	0	0
Council Tax (1)	Final Issued	20											
SODC			12.5		Full	1	0	0	1	1	0	0	0
VWHDC			12.5		Full	1	0	0	1	1	0	0	0
Creditor Payments (1)	Draft out	20											
SODC			10.0										
VWHDC			10.0										
Elections Payments (2)	In Progress	14											
SODC			0.5										
VWHDC			0.5										
Equalities and Diversity (2)	Final Issued	16											
SODC			7.5		Satisfactory	7	0	0	3	3	4	4	0
VWHDC			7.5		Satisfactory	7	0	0	2	2	5	5	0
Establishment Listing (2)	Final Issued	6											
SODC			2.0		Limited	3	2	2	0	0	1	1	0
VWHDC			2.0		Limited	3	2	2	0	0	1	1	0
Facilities Management (3)	Final Issued	14											
SODC			9.0		Satisfactory	16	0	0	7	7	9	9	0
VWHDC			9.0		Satisfactory	13	0	0	7	7	6	6	0

FOLLOW UP AUDITS 2010/2011

System Name	Total Days Used	Original Audit Opinion Issued	Total No. of Recs Agreed	Implemented	Partly Implemented	Not Implemented	Ongoing
SODC							
LEADER Project Assurance	0.5	Satisfactory	6	5	1	0	0
Cornerstone EP, DR and BCP	0.5	Satisfactory	6	4	1	1	0
HR Grievance	0.5	Full	2	1	0	1	0
HR Recruitment	0.5	Satisfactory	2	1	0	1	0
Leisure Centres	0.5	Limited	9	8	1	0	0
Health and Safety	0.5	Limited	12	6	2	3	1
ICT	0.5	Satisfactory	5	3	0	1	1
Pest Control	0.5	Limited	4	0	0	2	2
Time Management	0.5	Satisfactory	4	3	0	1	0
VWHDC							
Car Loans	0.5	Limited	10 - 8 now N/A	2	0	0	0
HR Grievance	0.5	Full	3	2	0	1	0
HR Recruitment	0.5	Satisfactory	4	2	1	1	0
Leisure Centres (WHTLC)	0.5	Limited	9	8	1	0	0
Leisure Centres (SOLL)	0.5	Limited	6	6	0	0	0
Petty Cash Procedures	0.5	Satisfactory	9 - 2 now N/A	6	0	1	0
Health and Safety	0.5	Limited	14	7	2	4	1
ICT	0.5	Limited	8	2	0	5	1
Stray Dogs	0.5	Satisfactory	3	0	0	2	1
Mortgages Administration	0.5	Limited	6	4	0	0	2
Time Management	0.5	Satisfactory	4	3	0	1	0
TOTALS							

FOLLOW UP AUDITS 2011/2012

System Name	Total Days Used	Original Audit Opinion Issued	Total No. of Recs Agreed	Implemented	Partly Implemented	Not Implemented	Ongoing
SODC							
Gifts and Hospitality	0.5	Satisfactory/Limited	4	2	0	1	0
Stationery System	0.5	Satisfactory	2	1	0	1	0
Ocella/Uniform ICT Procurement	0.5	Full	1	1	0	0	0
Facilities Management	0.5	Satisfactory	16	8	2	5	1
VWHDC							
Gifts and Hospitality	0.5	Satisfactory/Limited	4	2	0	1	0
Stationery System	0.5	Satisfactory	2	1	0	1	0
Ocella/Uniform ICT Procurement	0.5	Full	1	1	0	0	0
Facilities Management	0.5	Satisfactory	13	7	1	4	1
TOTALS							

