

PLANNED AUDIT 2011/2012

APPENDIX 1

System Name	Status	Audit Allocation	Total Days Used	Exception Issues	Audit Opinion	No. of Recs	High	No. Agreed	Medium	No. Agreed	Low	No. Agreed	Total Not Agreed
As at 15 September 2011													
JOINT													
Brown Bins (1) SODC VWHDC	TOR sent	20	0.0 0.0										
Building Control (3) SODC VWHDC	In Progress	10	6.0 5.0										
Capital Accounting (1) SODC VWHDC	To Commence	14	0.0 0.0										
Car Parks (2) SODC VWHDC	Draft out	20	9.5 9.5										
Concessionary Fares (2) SODC VWHDC	To Commence	10	0.0 0.0										
Council Tax (1) SODC VWHDC	In Progress	20	2.0 2.0										
Creditor Payments (1) SODC VWHDC	To Commence	20	0.0 0.0										
Elections Payments (2) SODC VWHDC	To Commence	14	0.0 0.0										
Equalities and Diversity (2) SODC VWHDC	To Commence	16	0.0 0.0										
Establishment Listing (2) SODC VWHDC	In Progress	6	0.5 0.5										
Facilities Management (3) SODC VWHDC	Final Issued	14	9.0 9.0		Satisfactory Satisfactory	16 13	0 0	0 0	7 7	7 7	9 6	9 6	0 0

FOLLOW UP AUDITS 2010/2011

System Name	Total Days Used	Original Audit Opinion issued	Total No. of Recs Agreed	Implemented	Partly Implemented	Not Implemented	Ongoing
SODC							
LEADER Project Assurance	0.5	Satisfactory	6	5	1	0	0
Cornerstone EP, DR and BCP	0.5	Satisfactory	6	4	1	1	0
HR Grievance	0.5	Full	2	1	0	1	0
HR Recruitment	0.5	Satisfactory	2	1	0	1	0
Leisure Centres	0.5	Limited	9	8	1	0	0
Health and Safety	0.5	Limited	12	6	2	3	1
VWHDC							
Car Loans	0.5	Limited	10 - 8 now N/A	2	0	0	0
HR Grievance	0.5	Full	3	2	0	1	0
HR Recruitment	0.5	Satisfactory	4	2	1	1	0
Leisure Centres (WHTLC)	0.5	Limited	9	8	1	0	0
Leisure Centres (SOLL)	0.5	Limited	6	6	0	0	0
Petty Cash Procedures	0.5	Satisfactory	9 - 2 now N/A	6	0	1	0
Health and Safety	0.5	Limited	14	7	2	4	1
TOTALS							

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SODC							
VWHDC							
TOTALS							

