

PLANNED AUDIT 2010/2011

APPENDIX 1

System Name As at 28 February 2011	Status	Audit Allocation	Total Days Used	Exception Issues	Audit Opinion	No. of Recs	High	Medium			Low		Total Not Agreed
								No. Agreed	No. Agreed	No. Agreed	No. Agreed	No. Agreed	
<b>JOINT</b>													
Brown Bins (2) SODC VWHDC	2011/2012	14	0	Postponed at the request of Head of Corporate Strategy. Action plan currently being implemented, which is monitored by the RSP Strategic Board.									
Capital Accounting (1) SODC VWHDC	Draft Issued	16											
Council Tax (1) SODC VWHDC	Draft Issued	20											
Creditor Payments (1) SODC VWHDC	Draft Issued	30											
Equalities and Diversity Strategy (2) SODC VWHDC	In Progress	16											
General Ledger (1) SODC VWHDC	Draft Issued	20											
Health & Safety (2) SODC VWHDC	Final Issued	20	25.5		Limited Limited	12 14	1 1	1 1	6 6	5 6	5 7	5 7	1 0
Housing & Council Tax Benefits Annual Audit (1) SODC VWHDC	Final Issued	30	32		Satisfactory Satisfactory	2 3	0 0	0 0	0 0	0 0	2 3	2 3	0 0
Housing & Council Tax Benefits Overpayments and Recovery Follow-Up (1) SODC VWHDC	Final Issued	14	18.5		Limited Limited	7 5	1 1	1 1	3 2	2 1	3 2	3 2	1 1
HR Grievance Procedure (3) SODC VWHDC	Final Issued	20	10		Full Full	2 3	0 0	0 0	0 0	0 0	2 3	2 3	0 0



<b>SODC</b>													
Cash Office (2)	Final Issued	8	6		Satisfactory	4	0	0	0	0	4	3	1
Cornerstone - Emergency Planning, Disaster Recovery, Business Continuity Planning (2)	Final Issued	8	8		Satisfactory	7	0	0	2	2	5	5	0
Fit for The Future Implementation Review (3)	Removed	10	0	Not deemed necessary by MT.									
Leader Project Assurance (2)	Final Issued	10	10		Satisfactory	6	0	0	0	0	6	6	0
Leader Project Grant Verification (2)	Final Issued	3	3	Incorporated in Leader Project Assurance	See Above								
Pest Control (3)	To Commence	5											
<b>VWHDC</b>													
Car Loans (2)	Final Issued	10	10		Satisfactory	10	3	2	3	3	4	2	3
Handling of Postal Cash and Cheques (2)	Final Issued	7	7		Limited	2	2	2	0	0	0	0	0
Licensing (2)	Final Issued	10	13.5		Satisfactory	4	0	0	1	1	3	2	1
Mortgages Administration (2)	In Progress	7											
Stray Dogs Contract (3)	To Commence	10											
<b>TOTALS</b>		<b>582</b>	<b>244.5</b>		Full Satisfactory Limited Nil	<b>2</b> <b>11</b> <b>10</b> <b>0</b>	<b>154</b>	<b>24</b>	<b>22</b>	<b>55</b>	<b>48</b>	<b>75</b>	<b>71</b> <b>13</b>

**FOLLOW UP AUDITS 2009/2010**

<b>System Name</b>	<b>Total Days Used</b>	<b>Original Audit Opinion Issued</b>	<b>Total No. of Recs Agreed</b>	<b>Implemented</b>	<b>Partly Implemented</b>	<b>Not Implemented</b>	<b>Ongoing</b>
<b>SODC</b>							
VFM from CCTV Contract Arrangements 09/10	0.5	Satisfactory	5	4	0	0	1
Records Management 09/10	0.5	Limited	8	3	1	4	0
Temporary Accommodation 09/10	0.5	Satisfactory	6	4	0	0	2
Partnership Performance Monitoring 09/10	0.5	Satisfactory	6	3	0	1	2
Contract Monitoring 08/09	0.5	Satisfactory	4	3	1	0	0
Facilities Management 09/10	0.5	Full	1	1	0	0	0
Focus Group Payments 08/09	0.5	Limited	7	7	0	0	0
Cornerstones Membership 09/10	0.5	Satisfactory	4	4	0	0	0
VFM in Procurement 09/10	0.5	Satisfactory	9	3	0	6	0
Information Governance 09/10	0.5	Satisfactory	7	2	0	4	1
Stock Control 08/09	0.5	Satisfactory	12	12	0	0	0
Verification of National Indicators 09/10	0.5	Satisfactory	10	8	0	2	0
<b>VWHDC</b>							
VFM from CCTV Contract Arrangements 09/10	0.5	Satisfactory	11	7	0	1	3
Rent Accounting 09/10	0.5	Satisfactory	12	7	1	4	0
Lone Working/ Officer Security 09/10	0.5	Satisfactory	8	5	1	2	0
Contract Monitoring 08/09	0.5	Limited	5	2	0	3	0
Oxfordshire Waste Partnership 08/09	0.5	Satisfactory	5	4	1	0	0
Partnership Performance Monitoring 09/10	0.5	Satisfactory	6	3	0	1	2
Records Management 09/10	0.5	Limited	11	1	1	9	0
Corporate Administration 09/10	0.5	Satisfactory	6	4	0	1	1
Business Continuity 08/09	0.5	Satisfactory	10	7	0	3	0
VFM in Procurement 09/10	0.5	Limited	15	8	0	7	0
Information Governance 09/10	0.5	Satisfactory	6	1	0	4	1
Stock Control 08/09	0.5	Satisfactory	9	7	0	2	0
Verification of National Indicators 09/10	0.5	Satisfactory	8	7	0	1	0
<b>TOTALS</b>	<b>11.5</b>		<b>191</b>	<b>117</b>	<b>6</b>	<b>55</b>	<b>13</b>

**FOLLOW UP AUDITS 2010/2011**

<b>System Name</b>	<b>Total Days Used</b>	<b>Original Audit Opinion Issued</b>	<b>Total No. of Recs Agreed</b>	<b>Implemented</b>	<b>Partly Implemented</b>	<b>Not Implemented</b>	<b>Ongoing</b>
<b>SODC</b>							
Cash Office 10/11	0.5	Satisfactory	3	2	1	0	0
<b>VWHDC</b>							
Handling of Postal Cash and Cheques 10/11	0.5	Limited	2	1	1	0	0
<b>TOTALS</b>							

**UNPLANNED WORK 2010/2011**

**CONSULTANCY**

System Name	Status	Audit Allocation	Total Days Used	Requested By
<b>JOINT</b>				
Sundry Debtors	Allocated to SD Audit	0	5.5	Steve Bishop, s151 Officer
<b>SODC</b>				
None	-	-	-	-
<b>VWHDC</b>				
None	-	-	-	-

**CONTINGENCY**

System Name	Status	Audit Allocation	Total Days Used	Requested By
<b>JOINT</b>				
None	-	-	-	-
<b>SODC</b>				
None	-	-	-	-
<b>VWHDC</b>				
DWP: Customer Information Systems, Breach of Security, Capita	Diciplinary Hearing resulted in dismissal of Capita Employee	5	6.5	Steve Bishop, s151 Officer
Defra Property Flood Protection: Resilience Grant Verification	Requested	1	1	Peter Dela, Principal Engineer
Anti Fraud & Corruption Whistleblowing Policy: Taxi Licensing Investigation	Requested	5	6	Margarat Reed, Head of Legal & Democratic Steve Bishop, s151 Officer

**SYSTEM DEVELOPMENT**

System Name	Status	Audit Allocation	Total Days Used	Requested By
<b>JOINT</b>				
None	-	-	-	-
<b>SODC</b>				
None	-	-	-	-
<b>VWHDC</b>				
None	-	-	-	-